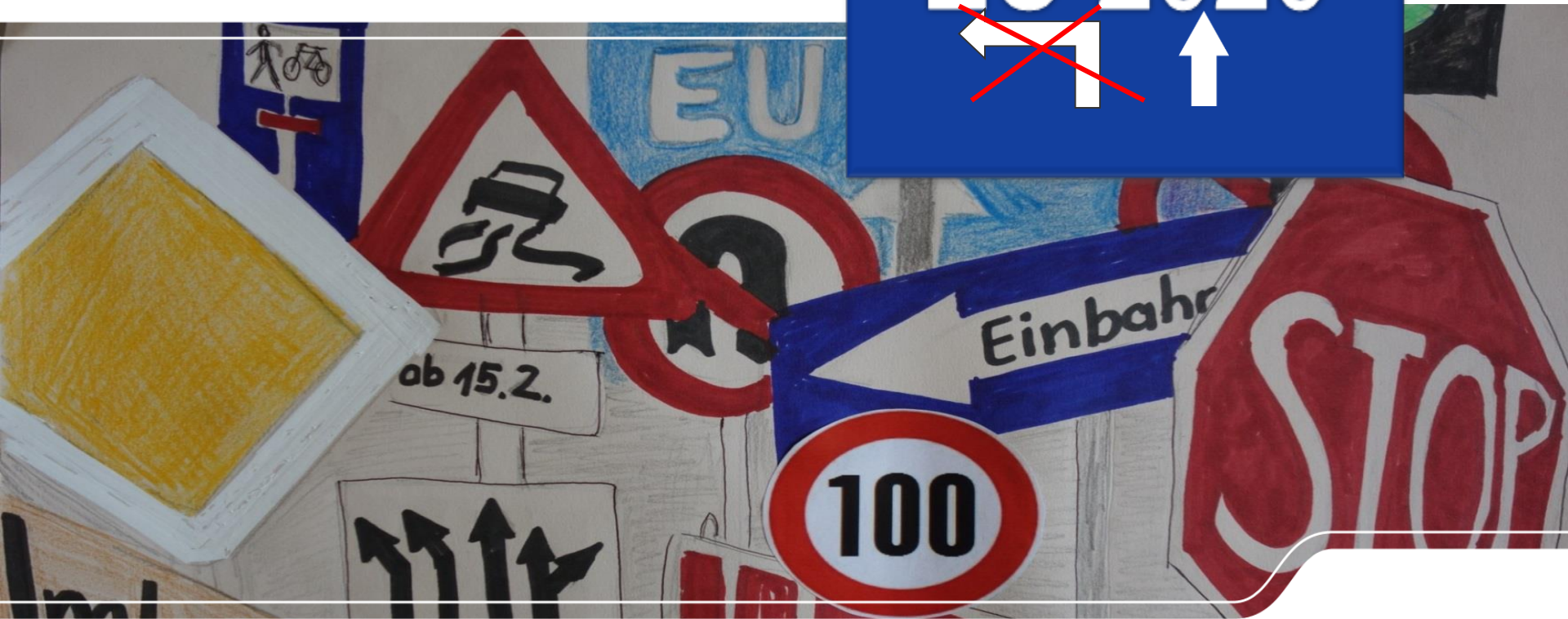


'The future CAP: towards a performance based delivery model'

STAATSMINISTERIUM
FÜR UMWELT UND
LANDWIRTSCHAFT



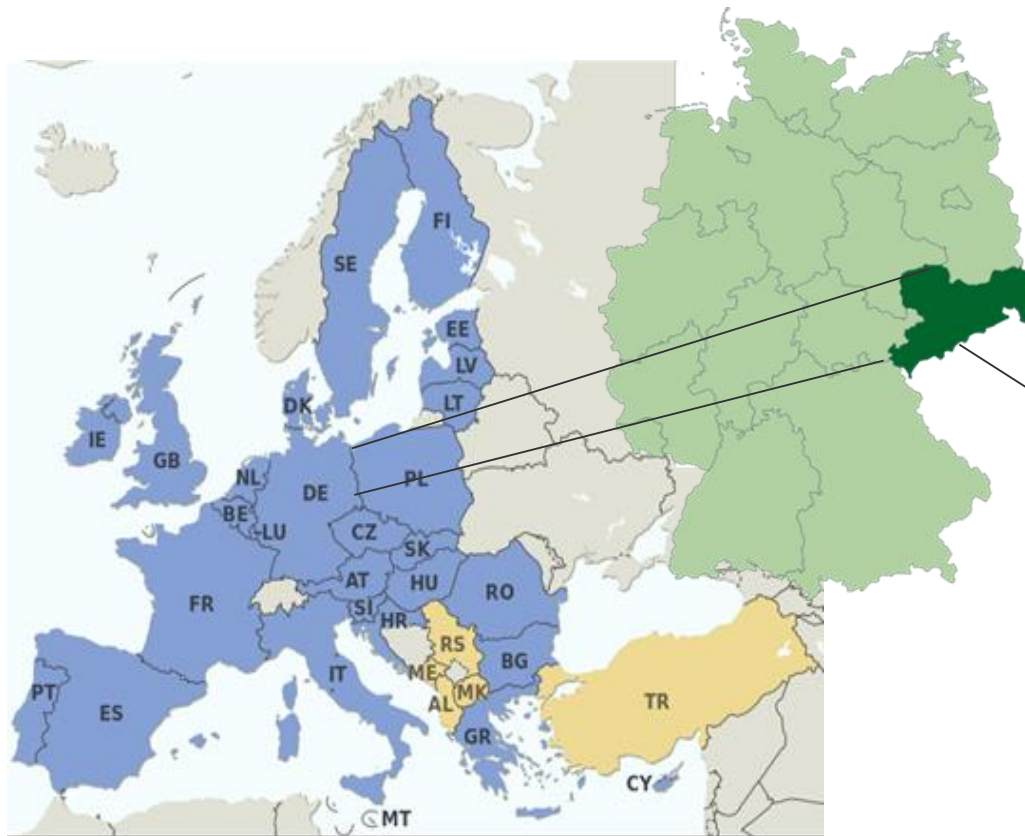
Saxony and the proposal for EAFRD-RESET



ENRD Workshop

Saxony within the EU

STAATSMINISTERIUM
FÜR UMWELT UND
LANDWIRTSCHAFT



■ EU-Mitgliedstaaten
■ Beitrittskandidaten

Source:
 EU-Mitgliedsstaaten und Kandidaten
https://commons.wikimedia.org/wiki/File:EU_Member_states_and_Candidate_countries_map.svg#/media/File:EU_Member_states_and_Candidate_countries_map.svg, 26.10.2016

Deutschland Lage von Sachsen
https://commons.wikimedia.org/wiki/File:%3ADeutschland_Lage_von_Sachsen.svg, 26.10.2016



Source: <http://www.sachsen-im-internet.de/landkarte-von-sachsen>, 26.10.2016

ESI-Funds 2014 - 2020
3,1 Billion EUR

Of that: EAFRD 879 M EUR

Reorientation of the EAFRD

I Aims

- I simplification/ debureaucratisation
- I funding focused on results
- I subsidiarity

I Risks

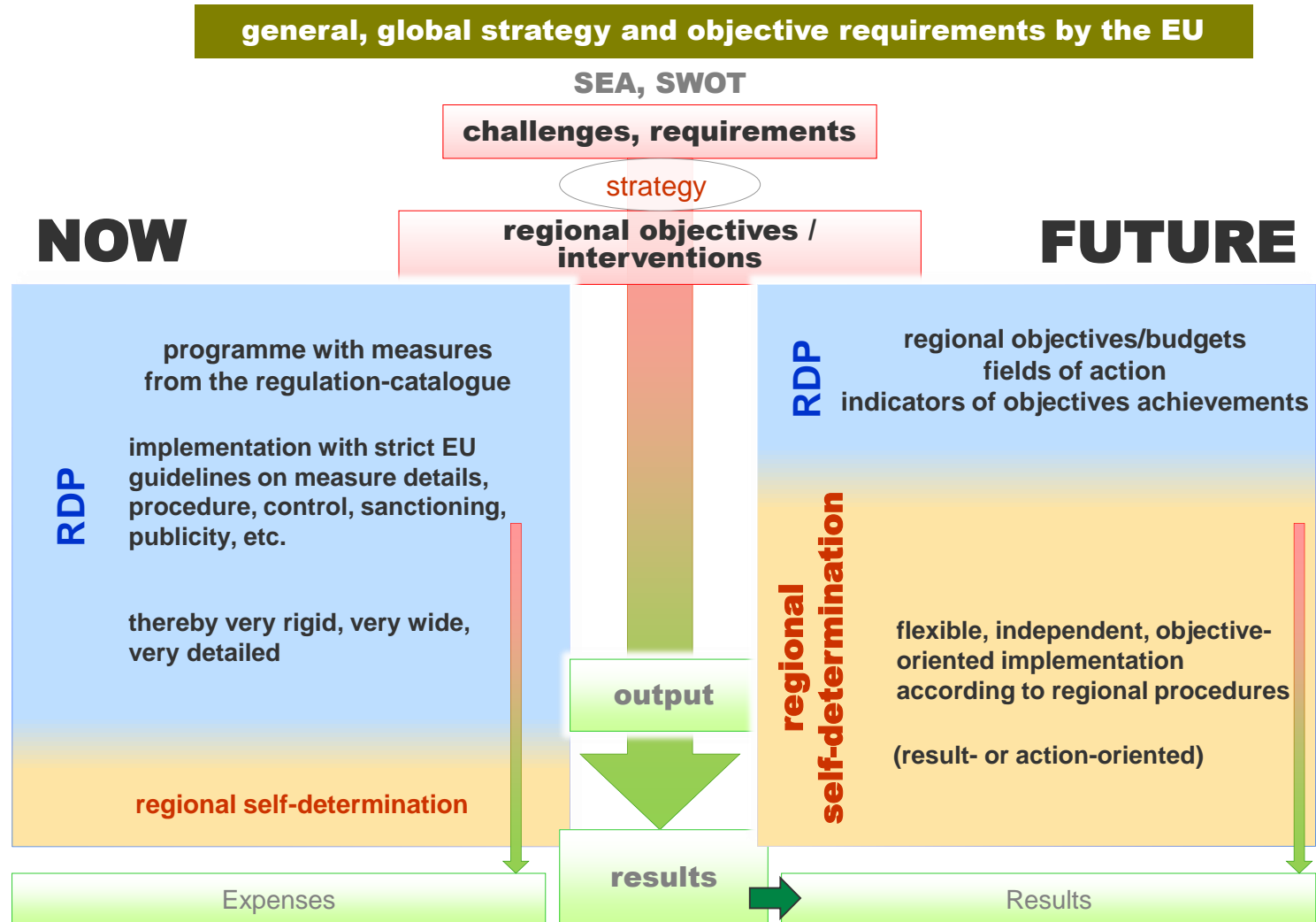
- I focus on results on top of the current system
- I focus on results without flexibility

I Conclusion

- I either real restart or maintenance of old system without changes
- I a real restart means that a focus on results must be implemented for the entire system



Reorientation of the EAFRD ...at RDP level



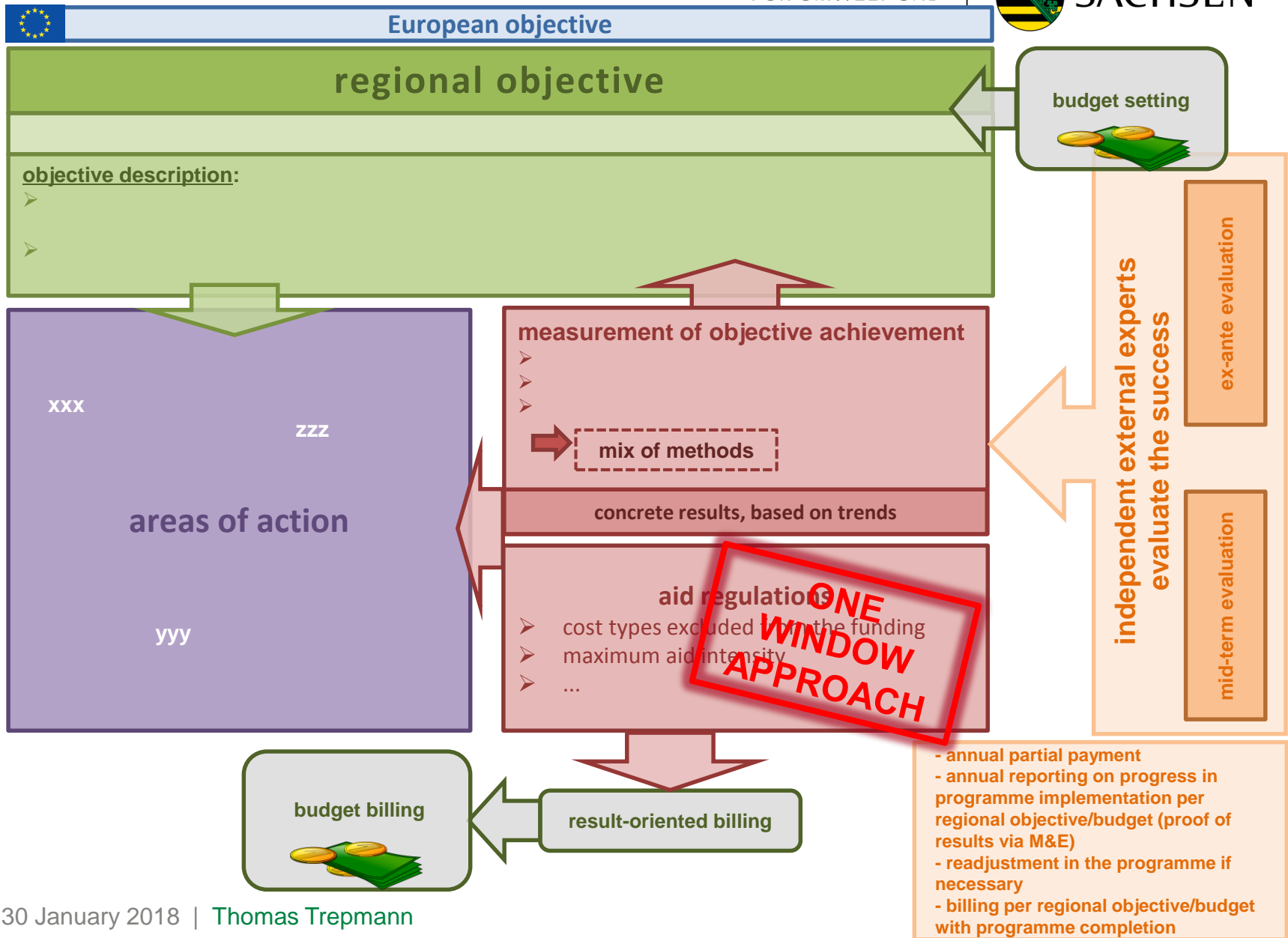
Reorientation of the EAFRD ...at RDP level

What is no longer in the RDP?

- | procedures for application and approval
- | payment procedures
- | support rates
- | control criteria
- | beneficiaries
- | eligible costs
- | other detailed information incl. references to other legal acts

**Change state
aid rules**

Core Elements of a new RDP



Focus on Results and Targets....

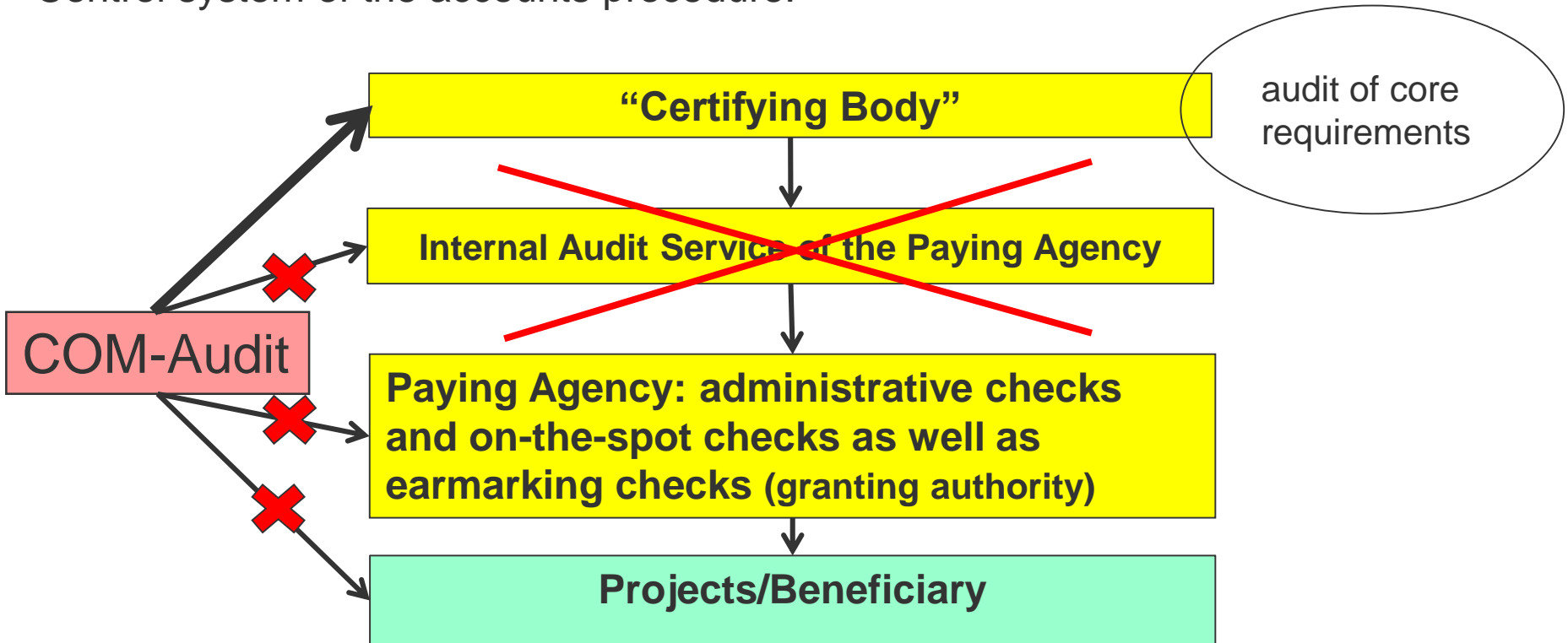
- | ... does not need to set target values, but corridors/margins where achievements are analysed regularly and if necessary adjustments are made – for orientation intermediate targets are stated,
- | ... must consider that effects sometimes occur years later (e.g. groundwater body) and are often a result of many factors (multifactorial impacts),
- | ... requires the region to react if it is expected to not reach the targets (adjustments to the programme, budget transfers, etc.),
- | ... cannot lead to consequences for beneficiaries if the targets are not achieved on RDP level - rights and obligations of the beneficiary are conclusively regulated in the approval of funding,
- | ... must leave space for external impacts that could objectively not have been foreseen (e.g. market crisis')

Reorientation of the EAFRD ...at **Paying Agency level**

- I Change in the procedure of the Paying Agency?
 - greatest challenge if focus is set on results
- I What can be changed?
 - I accounting of results instead of expenses?
- I What should be maintained?
 - I area identification system
 - I part of the administrative structures

Reorientation of the EAFRD ...at **Paying Agency level** Single-Audit

Control system of the accounts procedure:



To reduce the number of COM audits it is necessary to acknowledge the work of some kind of “Certifying Body”.

Reorientation of the EAFRD ...at Paying Agency level

I core requirements for a working result control system

- I administrative controls
- I on-the-spot controls
- I exclusion of double funding
- I prevention/ punishment of fraud
- I institutional requirements

- I monitoring and confirmation through
“Certifying Body”
- I the regions are responsible for the
procedures

RISK!

**Change of „audit
culture“!**

Reorientation of the EAFRD ...at Paying Agency level

Model: paying procedures whilst accounting with focus on results

- I separation from actual expenses
- I accounting per budget of the regional targets at the end of programme
- I → procedure is adaptable (annually, quarterly...); liquidity of member state/region must be secured
- I readjustments in the RDP must be possible

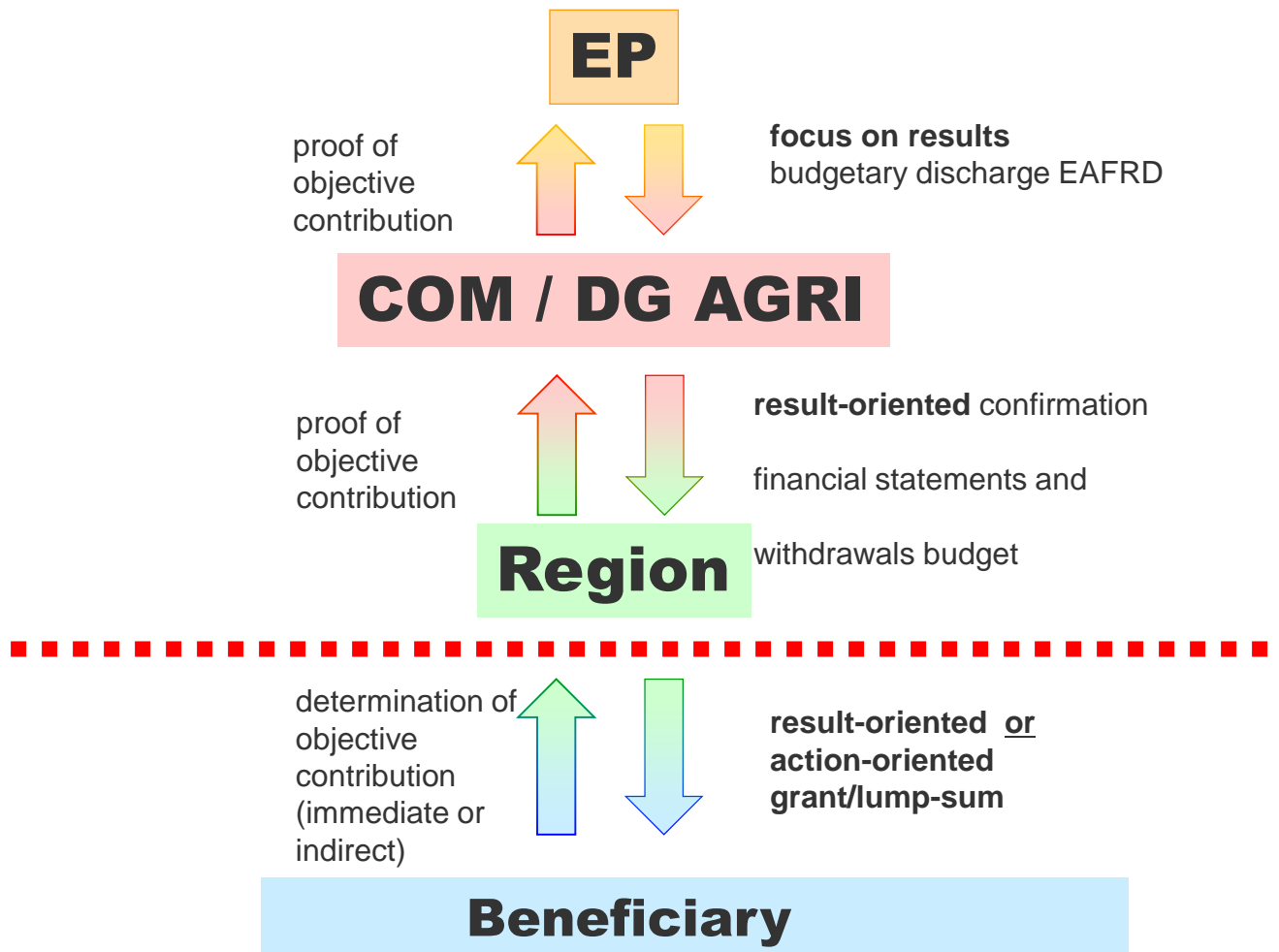
ANHANG I
X-TABELLE
Haushaltsjahr 2018

2018	A↓	2017	A↓	F100	F101	F103	F105	F105B	F105C	F106	F106A	F107	F108	F109	F110	F200	F201	F202A
05020101	1000	05020101	1000	X	X	X	X		X	X		X	X	X		X	X	X
05020101	1003	05020101	1003	X	X	X	X		X	X		X	X	X		X	X	X
05020102	1011	05020102	1011															
05020102	1013	05020102	1013															
05020201	1850	05020201	1850	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3010	05020300	3010	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3011	05020300	3011	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3012	05020300	3012	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3013	05020300	3013	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3014	05020300	3014	X	X	X	X		X	X		X	X	X		X	X	X

Summary

Focus on Results

„Focus on Results“



Conclusion

Although **RISKS** do unquestionably exist it is nonetheless worthwhile to further develop this approach, because there is a **CHANCE** that eventually a system will be formed which:

- | is less bureaucratic,
- | is therefore more focused on results,
- | brings more output for the same resources,
- | takes burden off beneficiary and administration and thereby
- | increases acceptance for the European funding policy.



Thank you for your attention!

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www.smul.sachsen.de/eafrd-reset