Tasks of the evaluation unit
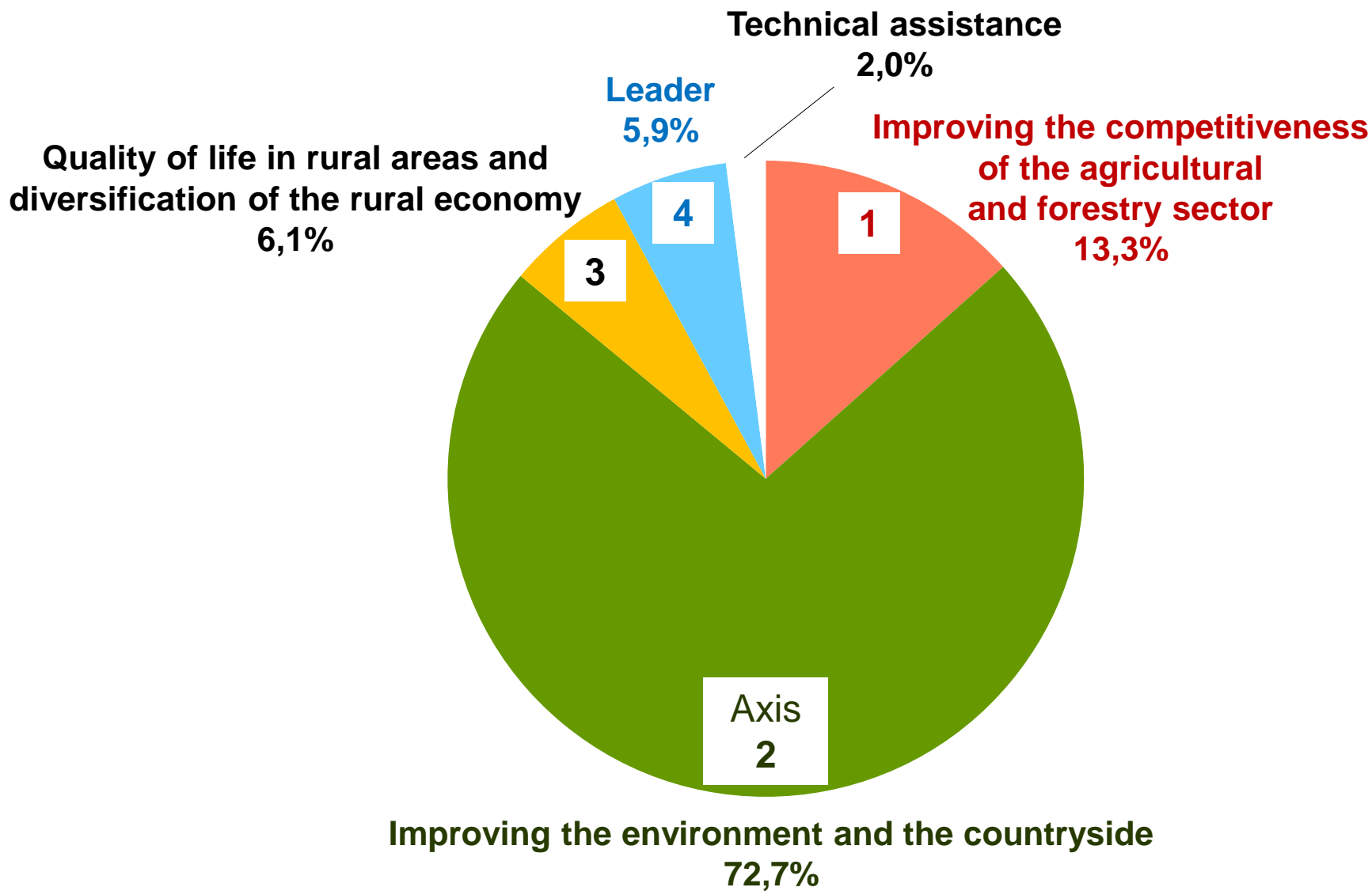
Authors:
Otto Hofer *(BMLFUW)*
Karl Ortner *(AWI)*

Vienna, 14. May 2012

Content

➢ The Austrian RDP 07-13

➢ The **evaluation system Project Handbook**

➢ Provision of the **data base** and geographic information

➢ Contracting evaluation studies

➢ Editing and publishing **evaluation reports**

➢ **Conclusions**

Budget RD 07-13

Technical assistance
2,0%

Leader
5,9%

Improving the competitiveness
of the agricultural
and forestry sector
13,3%

1

Quality of life in rural areas and
diversification of the rural economy
6,1%

3

4

Axis
2

Improving the environment and the countryside
72,7%

Austrian Programme for Rural Development 07-13

Axis 1	Axis 2	Axis 3	Axis 4
Improving the competitiveness of the agricultural and forestry sector	Improving the environment and the countryside	Quality of life in rural areas and diversification of the rural economy	Leader

M 111	M 121	M 132	M 211	M 221	M 311	M 321	M 331	
M 112	M 122	M 133	M 212	M 224	M 312	M 322	M 341	
	M 123		M 213	M 225	M 313	M 323		
	M 124		M 214	M 226			M 41	
	M 125		M 215				M 421	
							M 431	

29 measures with more than 40 submeasures

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Tasks of evaluation unit

➢ The Austrian RDP 07-13

➢ The **evaluation system Project Handbook**

➢ Provision of the **data base** and **geographic information**

➢ **Contracting** evaluation studies

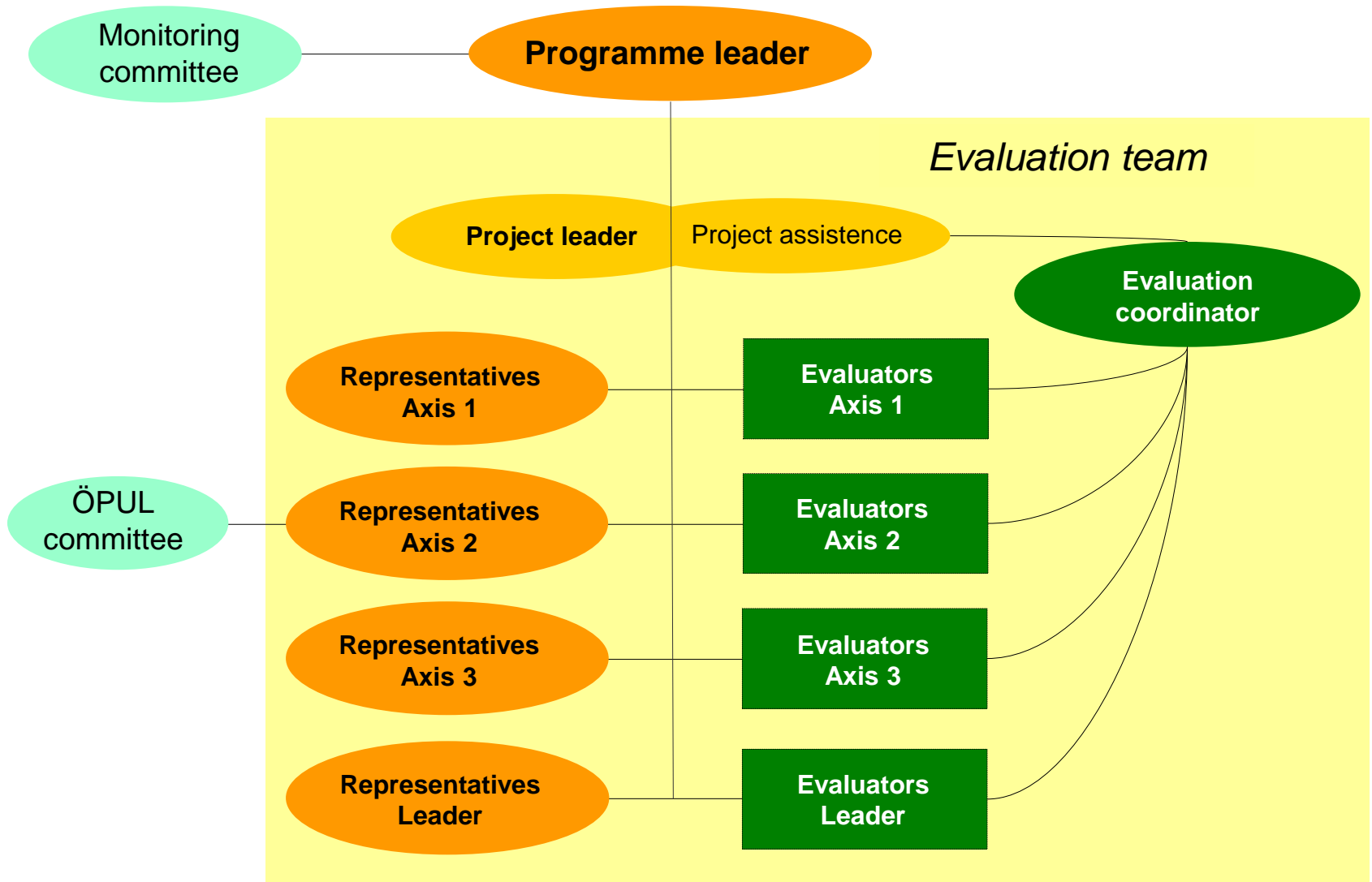➢ Editing and publishing **evaluation reports**

➢ **Conclusions**

Project handbook

➤Presents information concerning program monitoring and evaluation, i.e.

  ➢ Objectives
  ➢ Environment
  ➢ Structure of the project
  ➢ Organization of the evaluation
    ➢ Responsibilities
    ➢ Job descriptions
    ➢ Processes
    ➢ Documentation
  ➢ Timing, Reporting
  ➢ Guidelines, demands
  ➢ Data, support
  ➢ ……

➤Update once a year

LE 07-13
Entwicklung für den Ländlichen Raum

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Projekthandbuch

Evaluierung des Österreichischen Programms für die Ländliche Entwicklung 2007 - 2013

Version 6.0; Stand: 28. März 2012

Organisation of the evaluation in A

Evaluators of Austrian RDP 07-13

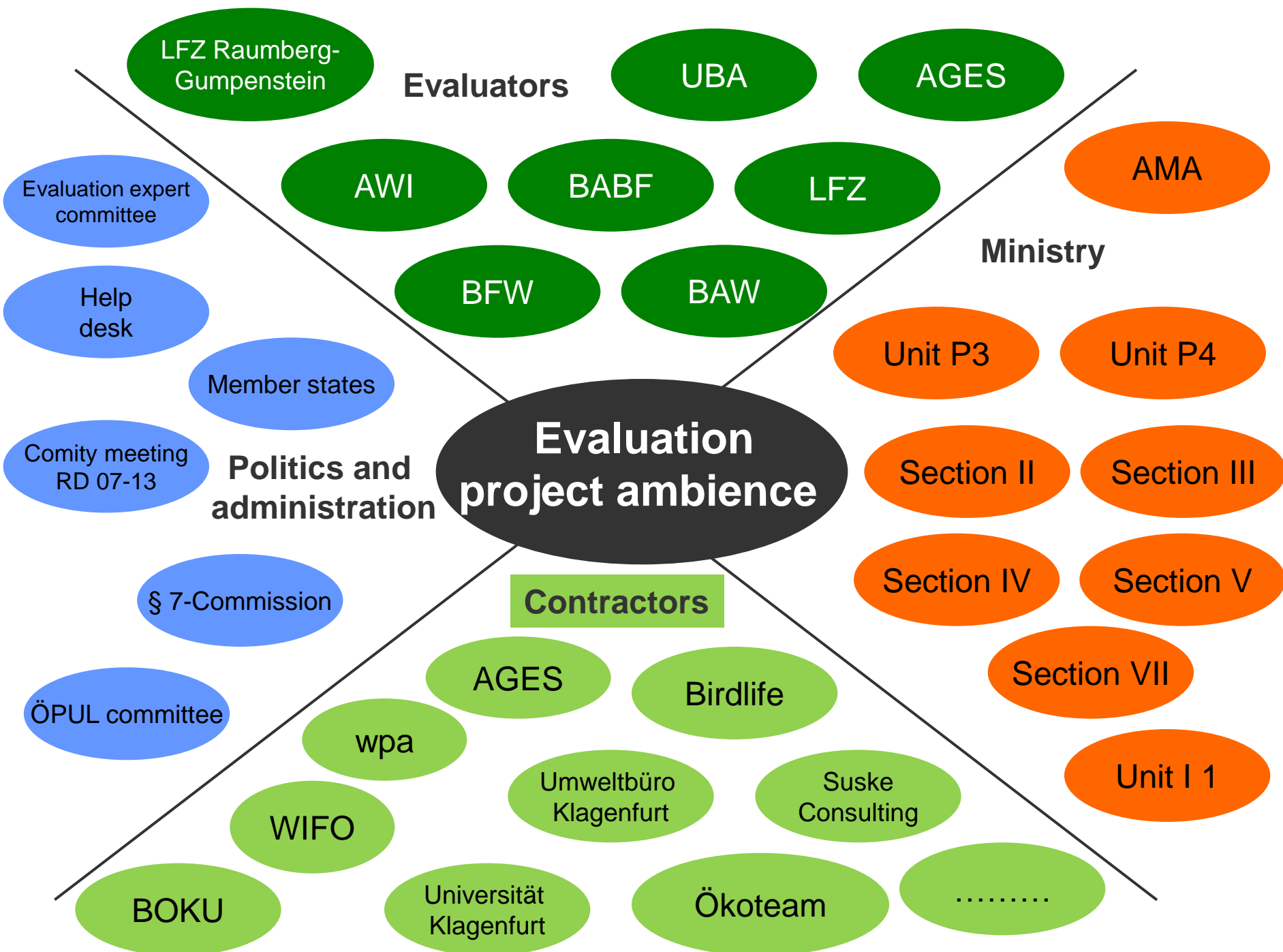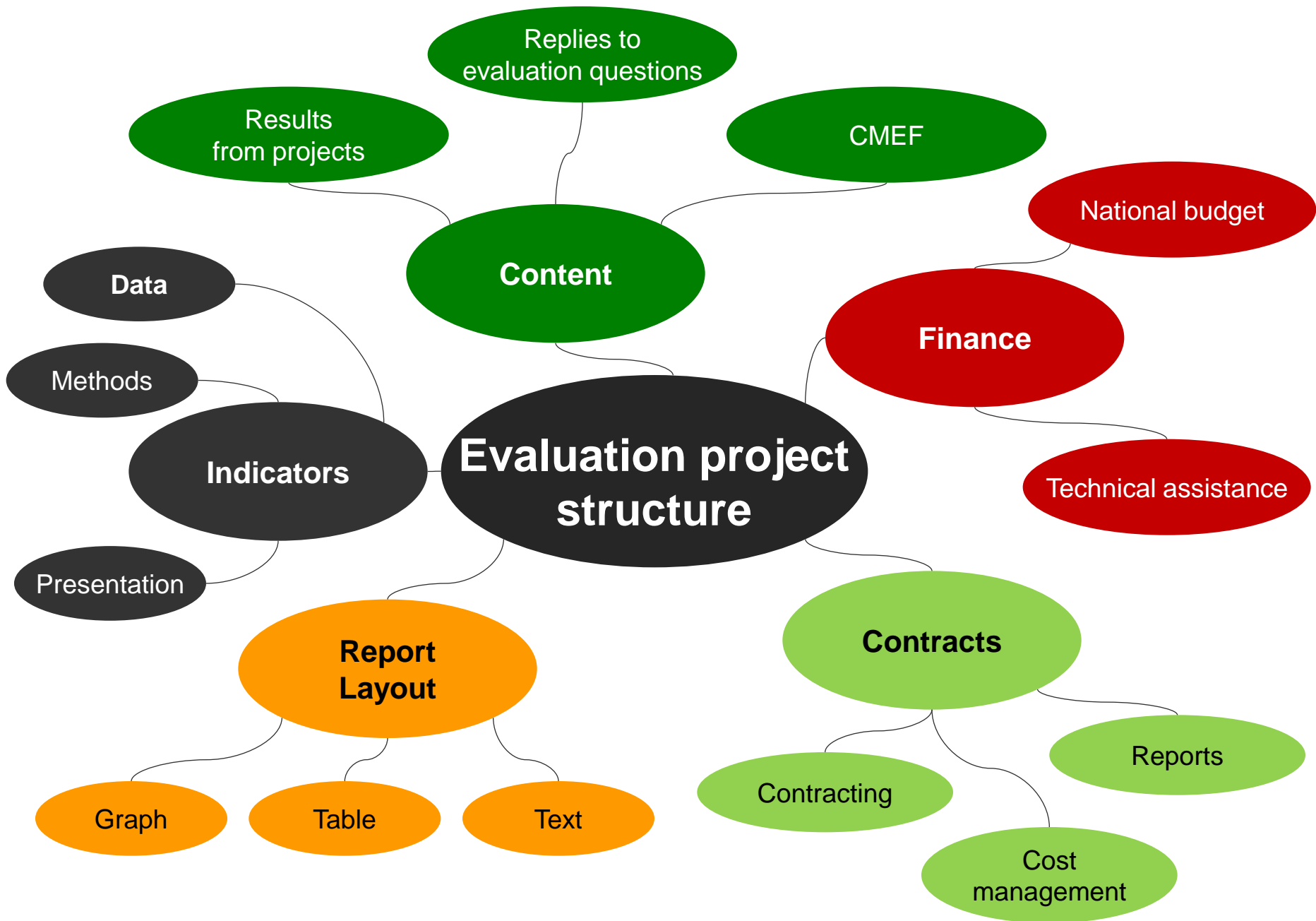**20 evaluators from 6 organisations**

11 measure representatives in the ministry
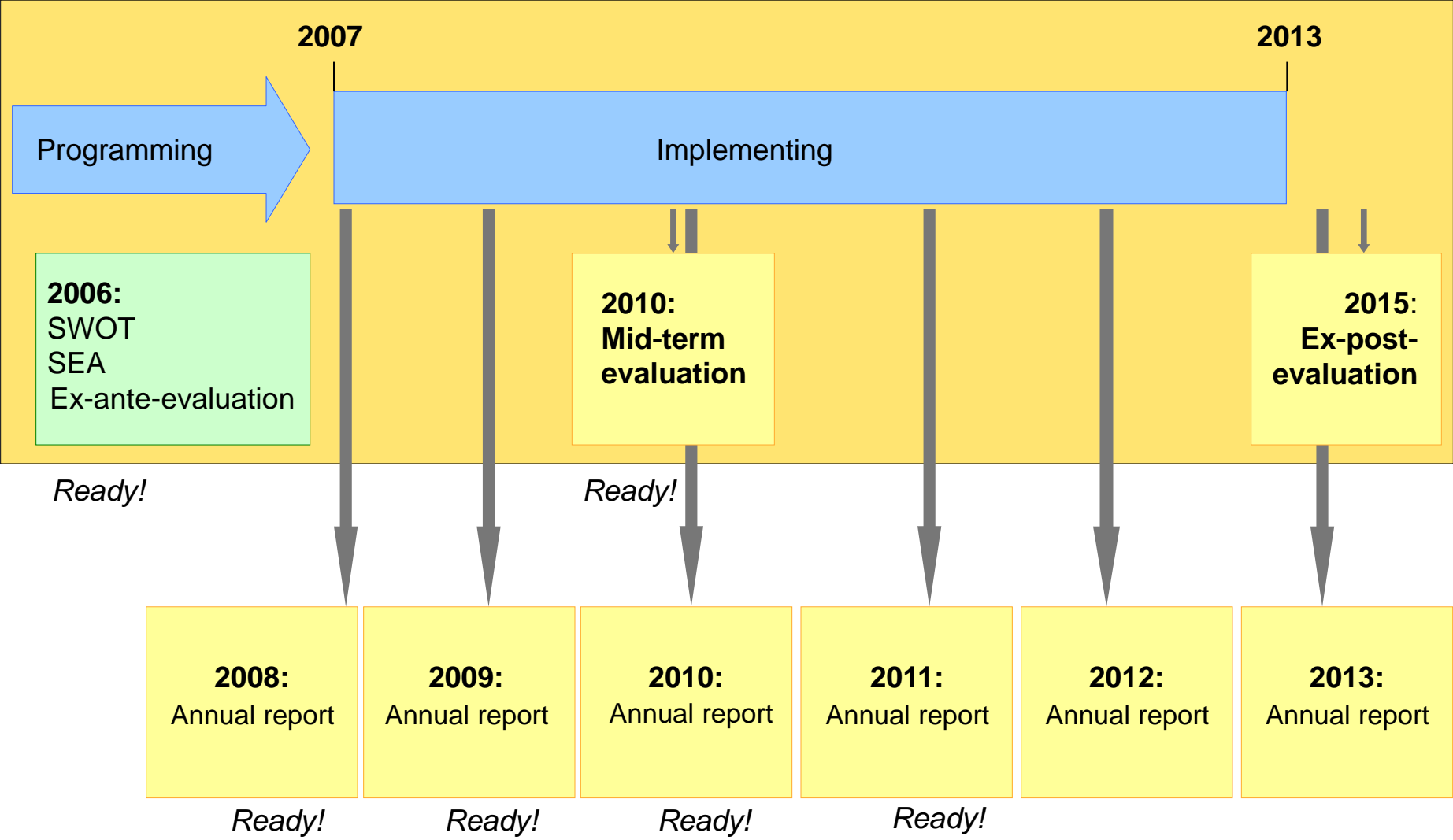
Studies ->
various institutes

Evaluators

LFZ Raumberg-Gumpenstein · UBA · AGES · AWI · BABF · LFZ · BFW · BAW

Ministry

AMA · Unit P3 · Unit P4 · Section II · Section III · Section IV · Section V · Section VII · Unit I 1

Politics and administration

Evaluation expert committee · Help desk · Member states · Comity meeting RD 07-13 · § 7-Commission · ÖPUL committee

Contractors

AGES · Birdlife · wpa · Umweltbüro Klagenfurt · Suske Consulting · WIFO · BOKU · Universität Klagenfurt · Ökoteam · ………

Evaluation project ambience

Evaluation project structure

- Content
  - Replies to evaluation questions
  - Results from projects
  - CMEF
- Finance
  - National budget
  - Technical assistance
- Contracts
  - Contracting
  - Cost management
  - Reports
- Report Layout
  - Graph
  - Table
  - Text
- Indicators
  - Data
  - Methods
  - Presentation

Project plan

Evaluation of Rural Development Program 07-13

1. Project management	2. Basics	3. Indicators	4. Contracts	5. Reports
Project start 1.1.	Provision data 2.1.	Baseline objective 3.1.	Project solicitation, proposals 4.1	Annual report 2008 5.1.
Project coordination 1.2.	Monitoring data 2.2.	Baseline context 3.2.	Resources and timetable 4.2.	Annual report 2009 5.2.
Project marketing 1.3.	Provision GIS-data 2.3.	Output indicators 3.3.	Contracting 4.4	Annual report 2010 5.3.
Project controlling 1.4.	IT-system and training 2.4.	Result indicators 3.4.	Finanzmanagement 4.5	Annual report 2011 5.4.
Project conclusion 1.5.		Impact indicators 3.5.	Reports 4.6	Annual report 2012 5.5.
				Annual report 2013 5.6.
				Ex-post evaluation 2015 5.7.

Timeline for monitoring and evaluation

2007

2013

Programming

Implementing

2006:
SWOT
SEA
Ex-ante-evaluation

2010:
Mid-term
evaluation

2015:
Ex-post-
evaluation

Ready!

Ready!

2008:
Annual report

2009:
Annual report

2010:
Annual report

2011:
Annual report

2012:
Annual report

2013:
Annual report

Ready!

Ready!

Ready!

Ready!

Coordination meetings

Objective: To coordinate and facilitate the evaluation

Participants: Evaluation team

Agenda

- ➤ Project environment, state of play
- ➤ Clarification of tasks and responsibilities
- ➤ Reports, guidelines, suggestions, demands
- ➤ Methodology
  - – Definition of terms, indicators, effects
- ➤ Data issues
- ➤ Research projects
- ➤ Reporting, structure of reports (templates)
- ➤ Feedback
- ➤ …

At least once a year

Information flows
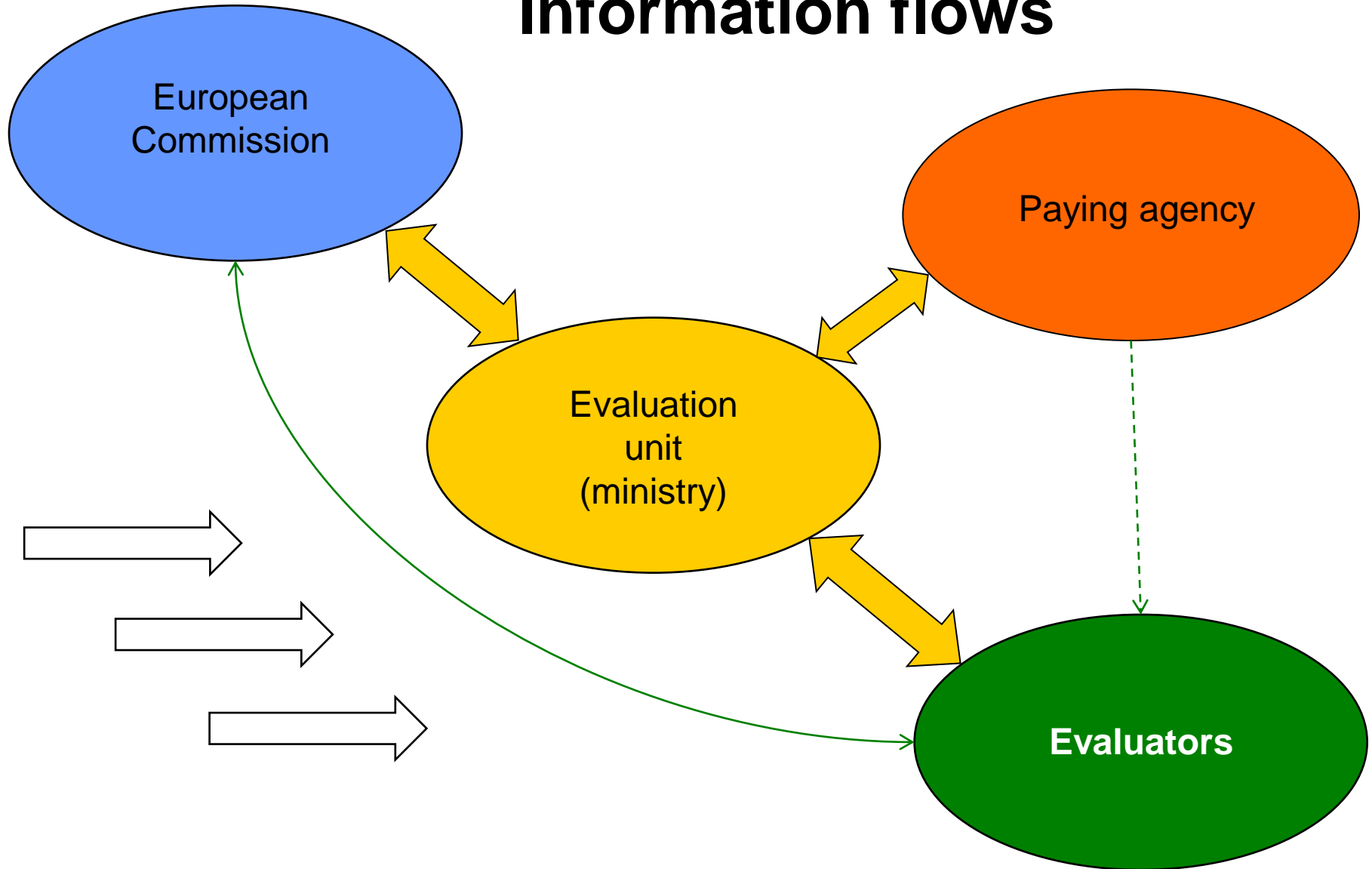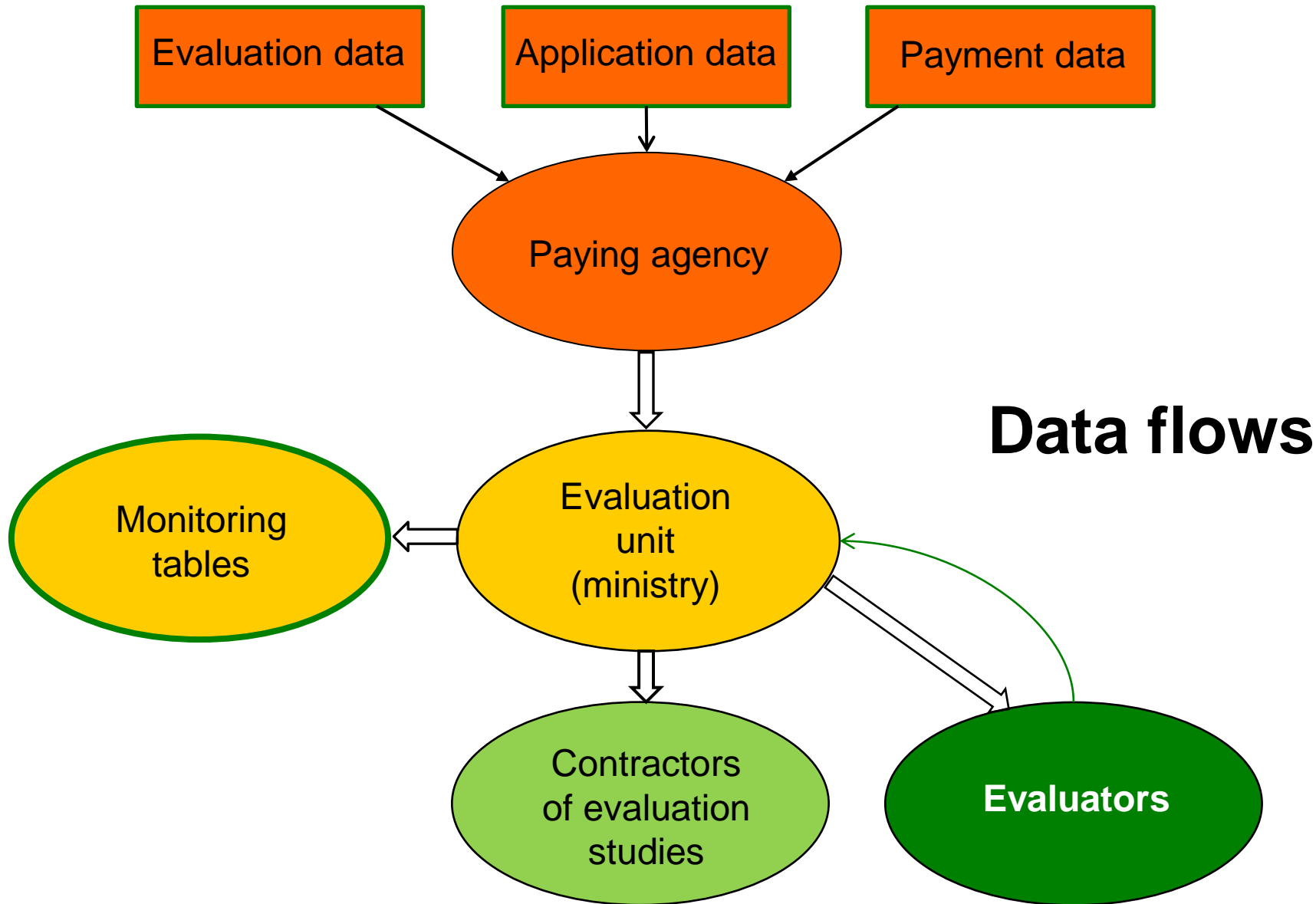
European
Commission

Paying agency

Evaluation
unit
(ministry)

Evaluators

Evaluation data

Application data

Payment data

Paying agency

Data flows

Evaluation unit (ministry)

Monitoring tables

Contractors of evaluation studies

Evaluators

1

Tasks of evaluation unit

- ➢ The Austrian RDP 07-13

- ➢ The **evaluation system Project Handbook**

- ➢ Provision of the **data base** and **geographic information**

- ➢ **Contracting** evaluation studies

- ➢ Editing and publishing **evaluation reports**

- ➢ **Conclusions**

Provision of data base

RDP data

for **3 measure groups**: M211/212, M214, other

- ➢ **Evaluation data** (ex application forms)
- ➢ **Payments data**

Other data

- ➢ Agricultural structure surveys
- ➢ Farm register
- ➢ Livestock register
- ➢ Land register, parcels, land use
- ➢ **FADN** database
- ➢ Municipality database
- ➢ …..

Features:

Farm level data

Update once a year

E001_Biobetriebe
E002_Milchquoten
E003_Agrarstrukturerhebung
E004_Buchführungsbetriebe Grüner Bericht
E005_Gemeindedatenbank
E007_Wein
E008_LE_07-13_Achse_1_bis_4_ohne_ÖPU…
E009_Obstanlagenerhebung
E010_Betriebsform_Invekos
E011_Arbeitszeitbedarf
E012_Gartenbau_und_Feldgemüseanbau
E013_Standarddeckungsbeiträge
E014_LEADER
E015_FIAF_2000_2006_und_EFF_ab_2008
E016_FADN_INLB_RICA_Daten
E017_Verkehrserschließung
E018_Wildbach_u_Lawinenverbauung
G001_INVEKOS-GIS
L005_Tierliste
L006_Betriebe
L007_Betriebsstruktur
L008_ÖPUL
L009_Gefährdete Tierrassen
L010_Flächen
L011_Seltene Kulturpflanzen
L012_AZuNB
L013a_Almen

Links between databases

➢ *RDP data*

Mn — Payment data

linked by unique application number

Application data — Mn ← Mn — Evaluation data
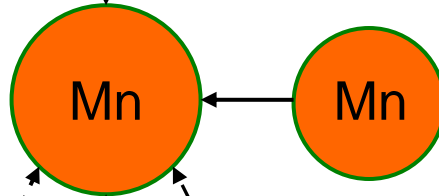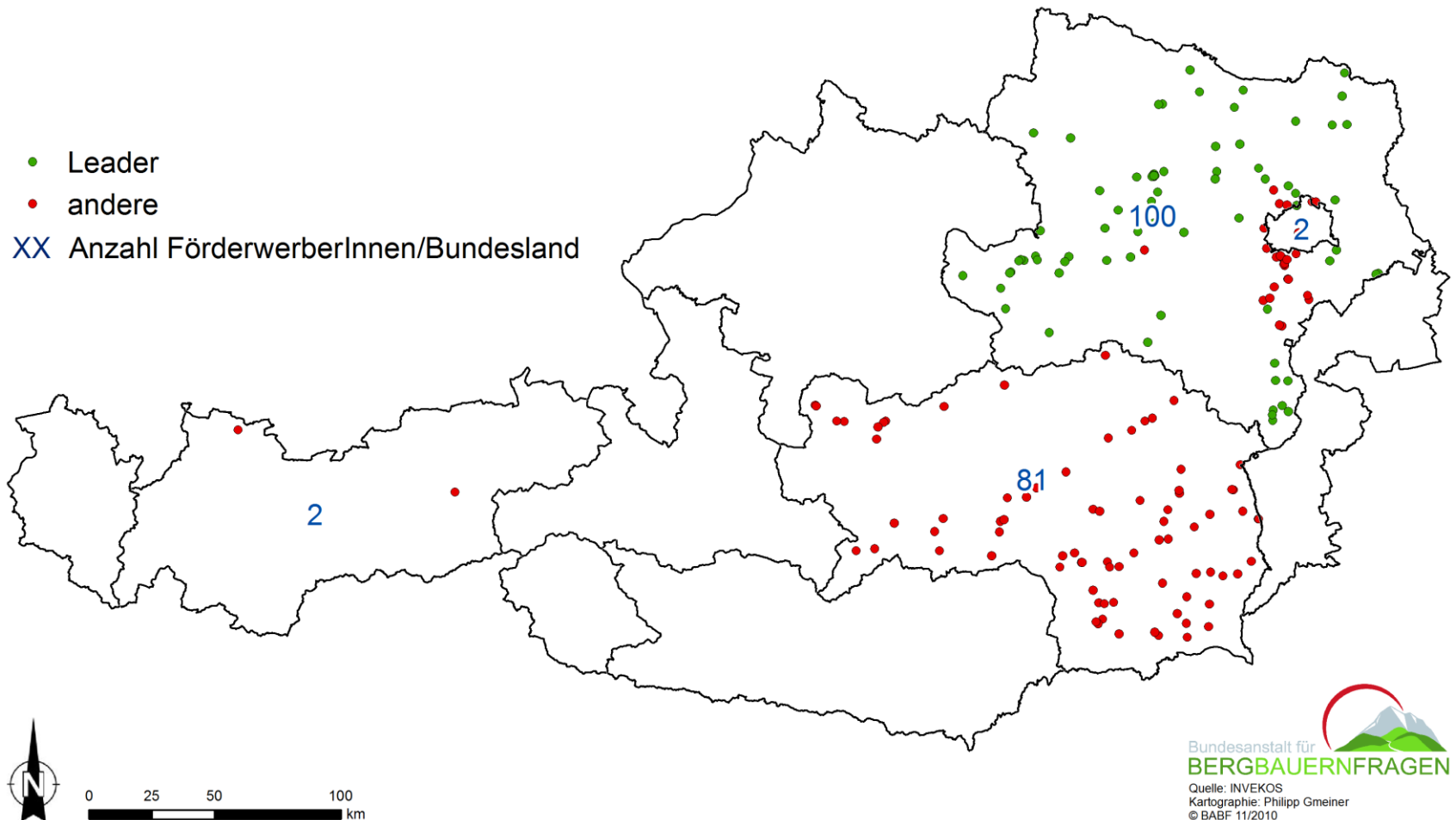
➢ *Other data*
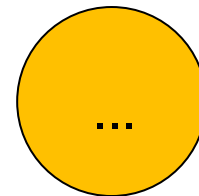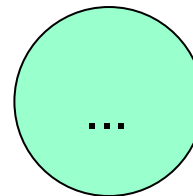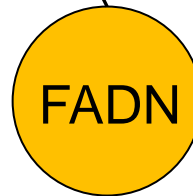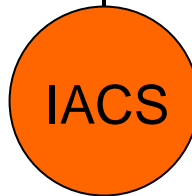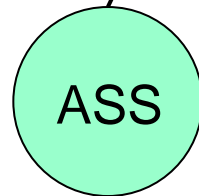
linked by unique farm id number

ASS IACS FADN ... ...

Example: Geographic system

M 312 – **Support for business creation and develpoment**
Distribution of beneficiaries

- Leader
- andere
XX Anzahl FörderwerberInnen/Bundesland

100

2

81

2

N

0   25   50   100
km

Bundesanstalt für
BERGBAUERNFRAGEN
Quelle: INVEKOS
Kartographie: Philipp Gmeiner
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Tasks of evaluation unit

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Contracting thematic studies

More than 50 evaluation studies contracted, and some are in the pipline…

Overall	→	7 studies
Axis 1	→	3 studies
Axis 2	→	45 studies
Axis 3	→	4 studies
Axis 4	→	4 studies

Evaluation studies: Example

➢ Part of ongoing evaluation

➢ Outcomes are used for evaluation

➢ Studies are published in internet

ÖKOTEAM · Institut für Tierökologie und Naturraumplanung OG
Ingenieurbüro für Biologie
A-8010 Graz · Bergmanngasse 22 · Tel. 0316/ 35 16 50 · Fax DW 4
e-mail office@oekoteam.at · http://www.oekoteam.at

**Tierökologische Bewertung
von WF-Rotflächen im Vergleich
zu Nicht-WF-Flächen**

IM AUFTRAG VON
Bundesministerium für Land- und Forstwirtschaft
Umwelt und Wasserwirtschaft
Abteilung II
Stubenring 1
A-1012 Wien

Graz, im Dezember 2008

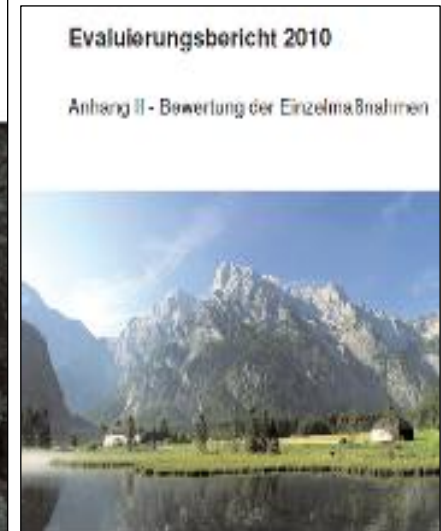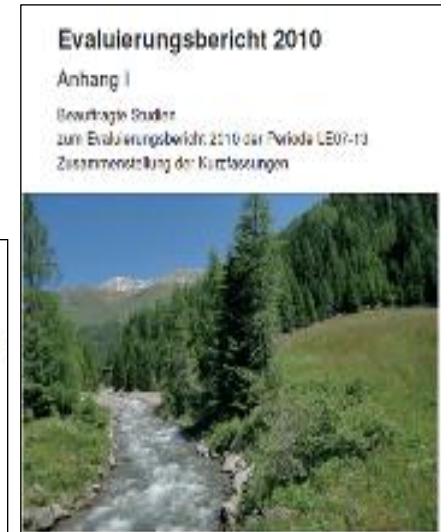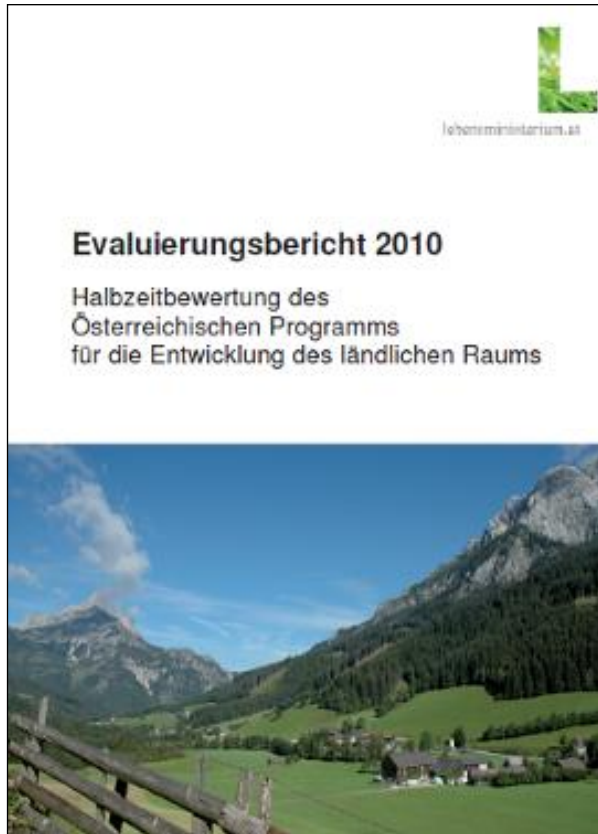MIT UNTERSTÜTZUNG VON BUND, LÄNDERN UND EUROPÄISCHER UNION
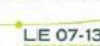
LE 07-13

Tasks of evaluation unit

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Mid-term evaluation reports

4 parts (programme, measures, annexes)
http://www.lebensministerium.at/land/laendl_entwicklung/
evaluierung/le_berichte/eval.html

Dissemination of results

- Internet
- Presentations
  - Monitoring committee
  - ÖPUL-Committee (Beirat)
  - Conferences
- Publications
  - Papers
  - Brochures
- Workshops
  - Focus group meetings
  - Evaluation society
- …...

Part of ongoing evaluation

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Specific challenges

Ensuring consistency in respect of

- ➤ Objectives of the evaluation project
- ➤ Roles and tasks of different actors
- ➤ Interpretation of guidelines
  - ➤ Terms (questions)
  - ➤ Indicators (observations/estimates, changes/effects, gross/net, short/ long term, detail …)
  - ➤ Monitoring vs evaluation
- ➤ Approaches, methods, results
  - ➤ Quality varies substantially
- ➤ Reporting, editing, quality assurance

Data and information gathering

- ➤ Collection (who, when, what), consistency, availability, detail, quality

Lessons learned

➢ An evaluation **depends** on a budget and specific know-how

➢ Bringing to bear the know-how of **specialised institutes** on an evaluation is advisable; it affords

  ➢ Leadership (focus, objective, guidance, agreements)

  ➢ Organisational and institutional arrangements (project structure)

  ➢ Commitment and cooperation (at the individual level)

  ➢ Definition of roles, tasks and rules

  ➢ Support (data, documents, training, …)

  ➢ Labour (contributions)

  ➢ Managerial and budgetary flexibility (adjustments, external support)

➢ The Managing Authority has a strong stake in evaluation

  ➢ tends to prefer measure-specific evaluations and studies

➢ Thematic studies are not bound by guidelines; scope and usefulness for evaluation varies widely

References

http://www.lebensministerium.at/land/laendl_entwicklung

http://www.gruenerbericht.at/cm2/index.php

Contacts

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